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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,570	12/19/2001	Achintya K. Bhowmik	42390.P12640

CONFIRMATION NO. 3312  
FORMALITIES LETTER



\*OC000000007515667\*

Charles K. Young  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*C. Peters*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/02/2002 BNNGUYEN1 00000034 10028570

01 FC:105

130.00 OP



Attorney's Docket No.:42390P12640

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Achintya K. Bhowmik et al.

Application No.: 10/028,570

Filed: December 19, 2001

For: CRYSTAL-CORE FIBER MODE CONVERTER)  
FOR LOW-LOSS POLARIZATION-  
INSENSITIVE PLANAR LIGHTWAVE  
CIRCUITS

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed February 21, 2002, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231

on April 22, 2002

Date of Deposit

Raquel R. Torres

Name of Person Mailing Correspondence

Signature

Date

(3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: April 22, 2002

Charles K. Young  
Charles K. Young  
Reg. No. 39,435

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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) \$ 130.00

Complete if Known:

Application No. 10/028,570

Filing Date December 19, 2001

First Named Inventor Achintya K. Bhowmik et al.

Group Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket No. 042390.P12640

### METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
\_\_\_\_\_  
 Money Order  
 Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$ 00

#### 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <u>- 20** =</u>	X	=
Independent Claims <u>- 3** =</u>	X	=
Multiple Dependent		=

\*\*Or number previously paid, if greater; For Reissues, see below.

#### Large Entity Small Entity

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
		9
		Claims in excess of 20
102	84	202
		42
		Independent claims in excess of 3
104	280	204
		140
		Multiple dependent claim, if not paid
109	84	209
		42
		**Reissue independent claims over original patent
110	18	210
		9
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>				
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Description</u>		<u>Fee Paid</u>
105	130	205	65	Surcharge - late filing fee or oath		<u>130.00</u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for ex parte reexamination		
099	8,800	099	8,800	Request for inter parties reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for reply within first month		
116	400	216	200	Extension for reply within second month		
117	920	217	460	Extension for reply within third month		
118	1,440	218	720	Extension for reply within fourth month		
128	1,960	228	980	Extension for reply within fifth month		
119	320	219	160	Notice of Appeal		
120	320	220	160	Filing a brief in support of an appeal		
121	280	221	140	Request for oral hearing		
138	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive – unavoidable		
141	1,280	241	640	Petition to revive - unintentional		
142	1,280	242	640	Utility issue fee (or reissue)		
143	460	243	230	Design issue fee		
144	620	244	310	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Processing fee under 37 CFR 1.17(q)		
126	180	126	180	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))		
148	110	248	55	Statutory Disclaimer		
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))		
179	740	279	370	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		
195	300	195	300	Publication fee for early, voluntary, or normal pub.		
196	300	196	300	Publication fee for republication		
194	130	194	130	Request for voluntary publication or republication		
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)		
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority		

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$130.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Charles K. YoungSignature: Charles K. Young Date: 9/22/02Reg. Number: 39,435 Telephone Number: 408-720-8300